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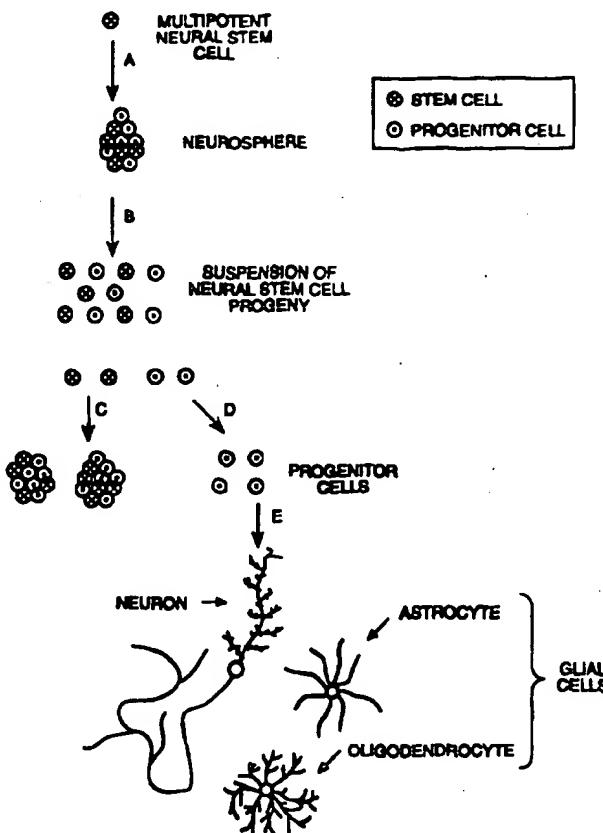
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<p>(21) International Application Number: <b>PCT/CA95/00637</b></p> <p>(22) International Filing Date: <b>14 November 1995 (14.11.95)</b></p> <p>(30) Priority Data: 08/338,730 14 November 1994 (14.11.94) US</p> <p>(60) Parent Application or Grant (63) Related by Continuation US 08/338,730 (CIP) Filed on 14 November 1994 (14.11.94)</p> <p>(71) Applicant (for all designated States except US): NEURO-SPHERES HOLDINGS LTD. [CA/CA]; 83 Heritage Medical Building, 3330 Hospital Drive, N.W., Calgary, Alberta T2N 4N1 (CA).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): WEISS, Samuel [CA/CA]; 4540 Chapel Road, N.W., Calgary, Alberta T2L 1A6 (CA). REYNOLDS, Brent, A. [CA/CA]; 235-11 A Street, N.W., Calgary, Alberta T2N 1X9 (CA).</p> <p>(74) Agent: BENNETT JONES VERCHERE; Suite 1800, 350 Albert Street, Box 25, Ottawa, Ontario K1R 1A4 (CA).</p>		<p>(81) Designated States: AL, AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, LS, MW, SD, SZ, UG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>

(54) Title: REGULATION OF NEURAL STEM CELL PROLIFERATION

(57) Abstract

The invention is directed to the regulation of multipotent neural stem cell proliferation *in vitro* and *in vivo* using compositions comprising various biological factors. More particularly, the invention is related to a method and therapeutic compositions for regulating the number of precursor cells that are produced by dividing neural stem cells, by exposing the stem cells to specific biological factors or combinations thereof.



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## REGULATION OF NEURAL STEM CELL PROLIFERATION

### Reference to Related Applications

This is a continuation-in-part application of U.S. Ser. No. 08/338,730 filed November 14, 1994.

### 5 Background of the Invention

In actively dividing tissues, such as bone marrow which gives rise to blood cells, specialized cells, known as stem cells, are present. The critical identifying feature of a stem cell is its ability to exhibit self-renewal or to generate more of itself. The simplest definition of a stem cell would be a cell with the capacity for self-maintenance. A more stringent (but still simplistic) definition of a stem cell is provided by Potten and Loeffler [*Development*, 110: 1001 (1990)] who have defined stem cells as "undifferentiated cells capable of a) proliferation, b) self-maintenance, c) the production of a large number of differentiated functional progeny, d) regenerating the tissue after injury, and e) a flexibility in the use of these options."

Stem cells divide, generating progeny known as precursor cells. Precursor cells comprise new stem cells and progenitor cells. The new stem cells are capable of dividing again, producing more stem cells, ensuring self-maintenance, and more progenitor cells. The progenitor cells are capable of limited proliferation, where all of their progeny ultimately undergo irreversible differentiation into amitotic, functional cells. FIG. 1 illustrates the relationship between stem cells, progenitor cells and differentiated cells.

The role of stem cells is to replace cells that are lost by natural cell death, injury or disease. The presence of stem cells in a particular type of tissue usually correlates with tissues that have a high turnover of cells. However, this correlation may not always hold as stem cells are thought to be present in tissues, such as the liver [Travis, *Science*, 259: 1829 (1989)] that do not have a high turnover of cells.

- The best characterized stem cell system is the hematopoietic stem cell. Evidence suggests that a single hematopoietic stem cell, located in bone marrow, is able to give rise, via a series of progenitor cells, to all of the blood cell lineages. US Patent 5,061,620, issued October 29, 1991, provides a means for isolating,
10. regenerating and using the hematopoietic stem cell. Before birth, hematopoietic stem cells are active at many sites, including the fetal yolk sac, bone marrow, liver and spleen. Shortly before birth the bone marrow takes over as the primary site of hematopoiesis. The hematopoietic stem cells in the liver and spleen become quiescent and do not resume production of blood cells unless hematopoietic stem
15. cell activity in the bone marrow is suppressed or widespread destruction of blood cells occurs.

- The differentiated cells of the adult mammalian CNS exhibit little or no ability to enter the mitotic cycle and generate new neural tissue — essentially all neurogenesis occurs during the prenatal and immediate post-natal periods. While it
20. is believed that there is a limited and slow turnover of astrocytes [Korr *et al.*, *J. Comp. Neurol.*, 150: 169 (1971)] and that progenitor cells which can give rise to oligodendrocytes are present [Wolsqijk and Noble, *Development*, 105: 386-698 (1989)], the generation of new neurons does not normally occur. Rats, however, exhibit a limited ability to generate new neurons in restricted adult brain regions
25. such as the dentate gyrus and olfactory bulb [Kaplan, *J. Comp. Neurol.*, 195: 323 (1987); Bayer, S.A., *N.Y. Acad. Sci.*, 457: 163-172 (1985)] but this does not apply to all mammals; and the generation of new neurons in adult primates does not occur [Rakic, P., *Science*, 227: 1054 (1985)]. This inability to produce new neuronal cells in most mammals (and especially primates) may be advantageous for
30. long-term memory retention; however, it is a distinct disadvantage when the need to replace lost neuronal cells arises due to injury or disease.

The low turnover of cells in the mammalian CNS together with the inability of the adult mammalian CNS to generate new cells in response to the loss of cells following injury or disease has lead to the assumption that the adult mammalian CNS does not contain stem cells. However, cells exhibiting stem cell

- 5 characteristics *in vitro* have recently been isolated from the CNS. This cell is present in the embryo [Reynolds *et al.*, *J. Neurosci.*, 12: 4565 (1992)] through to the adult [Reynolds and Weiss, *Science*, 255: 1707 (1992)], suggesting that adult CNS, although it does not generate new cells in response to injury or disease, has the capacity to generate new cells and to repair itself via proliferation and
- 10 differentiation of stem cells and their progeny in a manner analogous to the hematopoietic system. Recent results from *in vivo* experiments suggest that a population of relatively quiescent stem cells exist in the subependymal lining of the ventricles of the adult brain (Moreshead *et al.*, *Neuron* vol.13(5): 1071-1082 (1994)). It is possible that these stem cells, given the appropriate stimuli, could
- 15 serve as a source of replacement cells in case of neural damage or disease.

Survival, expansion and proliferation of the hematopoietic stem cells, and stem cell systems in the liver, intestines and skin have been shown to be under the control of a number of different trophic factors. In the hematopoietic system, for example, growth factors such as erythropoietin and the glycoprotein CSF (colony-stimulating factor) and various interleukins have been identified as factors which regulate stem cell function [Metcalf, D., *Bioassays*, 14(12): 799-805 (1992)].

- Research into the effects of trophic factors on neural cells during embryonic development suggest that endogenously occurring substances, such as platelet derived growth factor (PDGF), ciliary neurotrophic factor (CNTF), basic fibroblast 25 growth factor (bFGF), epidermal growth factor (EGF), transforming growth factor alpha (TGF $\alpha$ ) and nerve growth factor (NGF) participate in the prenatal development of the nervous system. For example, a type of embryonic neural progenitor cell, known as the 0-2A cell, gives rise to oligodendrocytes and type-2 astrocytes. In the presence of PDGF, the 0-2A cell divides and after a few
- 30 divisions differentiates into oligodendrocytes. The addition of CNTF and substrate factors, rather than PDGF, pushes the 0-2A progenitor cell to differentiate into

type I astrocytes [Raff *et al.*, *Nature* (Lond.), 303: 390-396 (1983)]. bFGF produces a two-fold increase in the proliferation of embryonic progenitor cells which develop into neurons [Gensberger *et al.* *FEB Lett.*, 217: 1-5 (1987)]. Cattaneo and McKay (1990) showed that growth factors added together or in sequential fashion will elicit novel responses not seen when the factors are added individually. They demonstrated that NGF stimulated the proliferation of embryonic neuroblasts to produce neurons only after they have been previously primed with bFGF [Cattaneo, E. and McKay, R., *Nature*, 347: 762-765 (1990)]. bFGF has also been shown to influence the expression of the PDGF receptor and to block the differentiation of the O-2A progenitor cell when exposed to PDGF [McKinnon *et al.*, *Neuron*, 5: 603-614 (1990)]. EGF or TGF $\alpha$  show some mitogenic effects on embryonic retinal neuroepithelial cells grown in culture, resulting in progenitor cells which, in the continued presence of the growth factors, give rise to neurons but not to glial cells [Anchan *et al.*, *Neuron*, 6: 923-936 (1991)]. In the same study, neurons and Müller cells are reported to occur in cultures derived from postnatal rat neuroepithelium.

CNS disorders encompass numerous afflictions such as neurodegenerative diseases (e.g. Alzheimer's and Parkinson's), acute brain injury (e.g. stroke, head injury, cerebral palsy) and a large number of diseases associated with CNS dysfunction (e.g. depression, epilepsy, and schizophrenia). In recent years neurodegenerative disease has become an important concern due to the expanding elderly population which is at greatest risk for these disorders. These diseases, which include Alzheimer's Disease, Multiple Sclerosis, Huntington's Disease, Amyotrophic Lateral Sclerosis, and Parkinson's Disease, have been linked to the degeneration of neural cells in particular locations of the CNS, leading to the inability of these cells or the brain region to carry out their intended function. In addition to neurodegenerative diseases, acute brain injuries often result in the loss of neural cells, the inappropriate functioning of the affected brain region, and subsequent behavior abnormalities. The most common types of CNS dysfunction (with respect to the number of affected people) are not characterized by a loss of neural cells but rather by an abnormal functioning of existing neural cells. This may be due to inappropriate firing of neurons, or the abnormal synthesis, release, and processing

of neurotransmitters. Some of these dysfunctions are well studied and characterized disorders such as depression and epilepsy, others are less understood disorders such as neurosis and psychosis.

- To date, treatment for CNS disorders has been primarily via the administration of pharmaceutical compounds. Unfortunately, this type of treatment has been fraught with many complications, including the limited ability to transport drugs across the blood-brain barrier and the drug-tolerance which is acquired by patients to whom these drugs are administered long-term. For instance, partial restoration of dopaminergic activity in Parkinson's patients has been achieved with levodopa, which is a dopamine precursor able to cross the blood-brain barrier. However, patients become tolerant to the effects of levodopa, and therefore, steadily increasing dosages are needed to maintain its effects. In addition, there are a number of side effects associated with levodopa such as increased and uncontrollable movement.
- An emerging technology for treating neurological disorders entails the transplantation of cells into the CNS to replace or compensate for loss or abnormal functioning of the host's nerve cells. While embryonic CNS cells have given the best results in human trials [Winder *et al.*, *New Eng. J. Med.*, 327: 1556 (1992)] and are the preferred donor tissue, ethical and political considerations, as well as the availability of sufficient quantities of tissue, limit the use of these cells. Other types of donor tissue for use in the treatment of CNS disorders are being developed. These include: genetically modified neural cell lines [Renfranz *et al.*, *Cell*, 66: 173 (1991); Synder *et al.*, *Cell* 68: 1, (1992)], fibroblasts (Kawaja *et al.*, *J. Neurosci.*, 12: 2849, (1992)), muscle cells [Jiao *et al.*, *Nature*, 363: 456 (1993)], glial progenitor cells [Groves *et al.*, *Nature*, 362: 453 (1993)] and encapsulated cells [Hoffman *et al.*, *Exp. Neurol.*, 132: 100 (1993)].

While transplantation approaches represent a significant improvement over currently available treatments for neurological disorders, the technology has not yet been perfected. For example, upon transplantation, some cell types fail to integrate with host CNS tissue. In particular, the use of non-neuronal primary cell

cultures limits the ability of the transplanted material to make connections with the host tissue. Immortalized donor cells obtained from primary neural tissue could form connections but the genetic expression of the oncogenes incorporated into these transformed cells is hard to control and could produce tumors and other complications. Donor and host could result in the rejection of the implanted cells. There is also the potential that the transplanted cells can result in tumor formation or pass infectious agents from the donor tissue to the host.

- Gage *et al.*, in US Patent 5,082,670, disclose a method of treating defects, disease or CNS cell damage by grafting genetically modified neural cells into the appropriate CNS regions. The donor cells disclosed in this patent were obtained from non-neuronal primary cultures but it was suggested that genetically transformed neural cell lines could be used. These donor cell sources are inherently problematic. Gage *et al.* recognize the limitations imposed by the donor cells used in their technique and acknowledge that there is a "... paucity of replicating non-transformed cell-culture systems..." They also recognize "the refractoriness of non-replicating neuronal cells to viral infection." This latter statement summarizes the difficulties associated with attempting to apply prior art methodology to genetically modify neural cells, which are not normally mitogenic unless obtained from embryonic tissue. Inherent in this technology is the potential for tissue rejection. Ideally, the genetically modified transplanted cells should be autologous, thereby preventing immunological complications — i.e. it would be beneficial if a patient's own quiescent neural stem cells could be genetically modified and/or stimulated to divide and differentiate, *in vitro*, into new neural cells which can then be implanted to replace lost or damaged neural tissue.
- The multipotent neural stem cells, which are now known to be present in the brains of mammals throughout their lives [Reynolds and Weiss, *Science*, 255: 1707 (1992)], provide a source of non-transformed neural cells which can be stimulated, in the presence of a growth factor such as epidermal growth factor, to become mitotically active. In culture, the neural stem cells can be induced to proliferate and can provide large quantities of undifferentiated neural cells, which are capable of differentiation into the major types of neural cells and can be transplanted,

genetically modified and then transplanted, or used for drug screening or other purposes.

- It would be an advantage to be able to regulate the proliferation of neural stem cells *in vitro*, in order to be able to either increase, decrease or in some other way,
- 5 alter, the mitotic activity of the neural stem cells and/or their progeny. Increasing the mitotic activity of quiescent neural stem cells would have an obvious benefit as the number of progeny available for transplantation, genetic modification, drug screening and so on, would be greater. It would also be advantageous to determine how proliferating neural stem cells, growing *in vitro* in the presence of a
- 10 proliferation-inducing growth factor can be regulated to decrease the amount of proliferation. This information can be used to regulate, *in vivo*, the proliferation-inducing growth factors, such as those disclosed in U.S. Ser. No. 08/149,508 filed November 9, 1993. It would also be advantageous to be able to regulate not only the numbers of neural stem cells which become mitotically active in the presence
- 15 of a growth factor or combination of growth factors, but to be able to regulate the rate of mitosis of the precursor progeny of these stem cells.

- In response to injury of brain or spinal cord tissue, gliosis occurs. It is thought that the glial scar which results from this process may prevent neuronal axons from reestablishing connections across the injury region, thus preventing the restoration
- 20 of function. Astrocytes, which proliferate both at the wound site and some distance away from the immediate vicinity of the wound are the principle cellular components of a glial scar (Reier, P.J. *Astrocytes* vol. 3: 263-323 (1986)).
- Proliferation of neural stem cells and their progeny in response to injury may be a factor in the development of gliosis. Neural repair could be enhanced if the extent
- 25 of gliosis at the injury site could be reduced.

- It would be an advantage to be able to reduce gliosis by preventing or reducing the mitotic activity which leads to increased astrocyte numbers in the vicinity of the wound. Reducing the ability of neural stem cells and/or their progeny to proliferate in response to wound-induced signals may be a way to limit the extent
- 30 of glial scar formation. The increased ability of severed axons to make

reconnections across the injury site would improve the quality of neural repair process and restore function.

- In view of the aforementioned deficiencies attendant with sources of CNS cells for transplantation or other uses, it should be apparent that a need exists in the art for
- 5 reliable methods for culturing large quantities of embryonic and adult neural cells from human and non-human sources that have not been intentionally immortalized by the insertion of an oncogene in order to induce unlimited proliferation, thereby removing any question of the influence of genetic alteration on the normal function of the cells. In certain circumstances, there is also a need to be able to regulate
- 10 the proliferation of the cells *in vitro* and *in vivo*.

Accordingly, it is an object of this invention to provide a method for the *in vitro* regulation of the proliferation of CNS stem cells, by altering the culture medium in which the stem cells are living through the addition of specific biological factors, such as growth factors or combinations of such factors.

- 15 It is another object of this invention to provide a method and therapeutic compositions for the *in vivo* regulation of the proliferation of CNS stem cells. The compositions comprise specific biological factors, such as growth factors or combinations of such factors, which are infused into the ventricular system of the CNS to regulate stem cell proliferation.
- 20 These and other objects and features of the invention will be apparent to those skilled in the art from the following detailed description and appended claims.

None of the foregoing references is believed to disclose the present invention as claimed and is not presumed to be prior art. The references are offered for the purpose of background information.

25 Summary of the Invention

A method of regulating the *in vitro* proliferation of a multipotent neural stem cell and/or the proliferation of progeny of said neural stem cell is described. The

- method comprises the steps of dissociating mammalian neural tissue containing at least one multipotent neural stem cell capable of producing progeny that are capable of differentiating into neurons, astrocytes and oligodendrocytes, and proliferating the multipotent neural stem cell in a culture medium containing at
- 5 least one proliferative factor that induces stem cell proliferation and a regulatory factor that regulates proliferation of the multipotent neural stem cell and/or proliferation of the progeny of the multipotent neural stem cell.

In addition, a method and compositions for regulating the *in vivo* proliferation of a multipotent neural stem cell and/or the proliferation of progeny of said neural stem

10 cell are described. The method comprises the steps of delivering to the ventricular regions of a mammal a therapeutic composition comprising at least one factor that has a regulatory effect on the proliferation of a multipotent neural stem cell and/or the proliferation of the progeny of the multipotent neural stem cell.

In one embodiment of the invention, the proliferative factor is bFGF and the

15 regulatory factor is EGF or heparan sulfate which increase the rate of proliferation of stem cell progeny.

In another embodiment of the invention, a therapeutic composition comprising a factor or combination of factors which inhibit the proliferation of neural stem cells is administered *in vivo* to reduce the proliferation of the cells.

20 Brief Description of the Drawings

FIG. 1: A schematic diagram illustrating the proliferation of a multipotent neural stem cell. (A) In the presence of a proliferative factor the stem cell divides and gives rise to a sphere of undifferentiated cells composed of more stem cells and progenitor cells. (B) When the clonally derived sphere of undifferentiated cells is

25 dissociated and plated as single cells, on a non-adhesive substrate and in the presence of a proliferative factor, each stem cell will generate a new sphere. (C) If the spheres are cultured in conditions that allow differentiation, the progenitor cells differentiate into neurons, astrocytes and oligodendrocytes.

**FIG. 2:** (A) Photograph (100x magnification) of 10-day old neurospheres cultured in 20 ng/ml EGF. (B) Photograph (100x magnification) of 10-day old neurospheres cultured in 20 ng/ml FGF. (C) Photograph (100x magnification) of 10-day old neurospheres cultured in 20 ng/ml EGF + 20 ng/ml FGF.

- 5 **FIG. 3:** Graph showing the number of neurospheres generated from primary cells derived from the cervical, thoracic, and lumbar regions of adult mice spinal cord in the presence of 20 ng/ml EGF + 20 ng/ml FGF or 20 ng/ml FGF + 2  $\mu$ g/ml heparan sulfate.

Detailed Description of the Invention

- 10 The invention is based on the development of procedures and compositions for regulating and manipulating the proliferation of multipotent neural stem cells and is directed towards regulating the numbers of progeny derived from a multipotent stem cell grown *in vitro* or *in vivo*. As used herein, the term "neural stem cell" or "central nervous system (CNS) stem cell" refers to a relatively quiescent, undifferentiated stem cell from neural tissue that is capable of proliferation, giving rise to more neural stem cells (thus ensuring self-maintenance) and to progenitor cells. The term "multipotent" refers to a neural stem cell that is capable of producing progeny that give rise to each of the major types of differentiated neural cells, i.e. neurons, astrocytes and oligodendrocytes. In comparison, an
- 15 undifferentiated cell that gives rise to two types of differentiated cells, for example, the O-2A cell, which gives rise to oligodendrocytes and astrocytes, is termed "bipotent", and one that gives rise to only one type of differentiated cell is termed "unipotent".

- 20 The term "progenitor cell" also refers to an undifferentiated cell derived from a neural stem cell but differs from a stem cell in that it has limited ability to proliferate and does not maintain itself. Each of a neural progenitor cell's progeny will, under appropriate conditions, differentiate into a neuron, astrocyte (type I or type II) or oligodendrocyte. Oligodendrocytes are differentiated glial cells that form the myelin surrounding axons in the central nervous system (CNS).
- 25 Oligodendrocytes have the phenotype galactocerebroside (+), myelin basic protein

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(+), and glial fibrillary acidic protein (-) [GalC(+), MBP(+), GFAP(-)]. Neurons are differentiated neuronal cells that have the phenotype neuron specific enolase (+), neurofilament (+), microtubule associated protein or Tau-1 (+) [NSE(+), NF (+), MAP-2 (+), or Tau-1 (+)]. Astrocytes are differentiated glial cells that  
5 have the phenotype GFAP(+), GalC(-), and MBP(-).

CNS stem cells have been reported and their uses described [Reynolds and Weiss, *Science*, 255: 1707 (1992); Reynolds *et al.*, *J. Neurosci.*, 12: 4565 (1992);  
Reynolds and Weiss, *Restorative Neurology and Neuroscience*, 4: 208 (1992);  
Reynolds and Weiss, "Neuronal Cell Death and Repair" ed. Cuello, A.C.,  
10 *Elsevier Science*, pp. 247-255 (1993)]. Additionally, the utility of these cells is described in published PCT applications no. WO 93/01275, WO 94/16718, WO 94/10292, and WO 94/09119. Like stem cells found in other mammalian tissues, the CNS stem cell is capable of self-maintenance and generating a large number of progeny including new stem cells and progenitor cells capable of differentiation  
15 into neurons, astrocytes and oligodendrocytes.

CNS stem cells can be isolated and cultured from any pre- or post-natal mammalian CNS tissue by the methods described by Reynolds and Weiss [*Science*, 255: 1707, (1992)], the published PCT applications referenced above, and in Example 1, below. Multipotent CNS stem cells occur in a variety of CNS regions.  
20 including the conus medullaris, cervical, thoracic and lumbar regions of the spinal cord, the brain stem, striatum and hypothalamus. The neural stem cells can be obtained from tissue from each of these regions and induced to divide *in vitro*, exhibiting self-maintenance and generating a large number of progeny which include neurons, astrocytes and oligodendrocytes.

25 In brief, the multipotent neural stem cell is obtained from neural tissue and grown in a culture medium which is preferably serum-free and which may comprise any combination of substances known to support the survival of cells. A suitable serum-free culture medium, herein after referred to as "Complete Medium", comprises Dulbecco's modified Eagle's medium (DMEM) and F-12 nutrient  
30 mixture (Gibco) (1:1), glucose (0.6%), glutamine (2 mM), sodium bicarbonate (3

- mM), HEPES (4-[2-hydroxyethyl]-1-piperazineethanesulfonic acid) buffer (5 mM) and a defined hormone mix and salt mixture (10%; available from Sigma), used to replace serum, which comprises insulin (25 µg/ml), transferrin (100 µg/ml), progesterone (20 µM), putrescine (60 µM), and selenium chloride (30 nM). At 5 least one biological factor that induces multipotent stem cell proliferation is added to the Complete Medium.

The term "biological factor", as used herein, refers to a biologically active substance that is functional in CNS cells, such as a protein, peptide, nucleic acid, growth factor, steroid or other molecule, natural or man-made, that has a growth, 10 proliferative, differentiative, trophic, or regulatory effect (either singly or in combination with other biological factors) on stem cells or stem cell progeny. Examples of biological factors include growth factors such as acidic and basic fibroblast growth factors (aFGF, bFGF), epidermal growth factor (EGF) and EGF-like ligands, transforming growth factor alpha (TGF $\alpha$ ), insulin-like growth factor 15 (IGF-1), nerve growth factor (NGF), platelet-derived growth factor (PDGF), and transforming growth factor betas (TGF $\beta$ ); trophic factors such as brain-derived neurotrophic factor (BDNF), ciliary neurotrophic factor (CNTF), and glial-derived neurotrophic factor (GDNF); regulators of intracellular pathways associated with growth factor activity such as phorbol 12-myristate 13-acetate, staurosporine, CGP- 20 41251, tyrphostin, and the like; hormones such as activin and thyrotropin releasing hormone (TRH); various proteins and polypeptides such as interleukins, the Bcl-2 gene product, bone morphogenic protein (BMP-2), macrophage inflammatory proteins (MIP-1 $\alpha$ , MIP-1 $\beta$  and MIP-2); oligonucleotides such as antisense strands directed, for example, against transcripts for EGF receptors, FGF receptors, and 25 the like; heparin-like molecules such as heparan sulfate; and a variety of other molecules that have an effect on neural stem cells or stem cell progeny including amphiregulin, retinoic acid, and tumor necrosis factor alpha (TNF $\alpha$ ).

Biological factors, such as EGF and bFGF, that individually have a proliferative effect on multipotent neural stem cells are herein referred to as "proliferative 30 factors". Generally, proliferative factors bind to a cell-surface receptor, resulting in the induction of proliferation. Preferred proliferative factors include EGF,

amphiregulin, aFGF, bFGF, TGF $\alpha$ , and combinations of these and other biological factors, such as heparan sulfate. A particularly preferred combination for inducing the proliferation of neural stem cells is EGF and bFGF. The proliferative factors are usually added to the culture medium at a concentration in the range of about 10 5 pg/ml to 500 ng/ml, preferably about 1 ng/ml to 100 ng/ml. The most preferred concentration for EGF, aFGF and bFGF is about 20 ng/ml of each proliferative factor.

The stem cells may be cultured in any culture vessels, for example 96 well plates or culture flasks. In the presence of a proliferation-inducing growth factor or 10 combination of factors a multipotent neural stem cell divides, giving rise, within 3-4 days, to undifferentiated stem-cell progeny. The stem cell progeny, referred to herein as "precursor cells", include newly generated multipotent stem cells and progenitor cells. *In vitro*, the progeny of a single stem cell typically forms a cluster of precursor cells referred to herein as a "neurosphere"; however, culture 15 conditions may be changed (e.g. by providing a treated substrate onto which the proliferating cells adhere) so that the proliferating cells do not form the characteristic neurospheres. Precursor cells are not immunoreactive for any of the neuronal or glial cell markers, but they are immunoreactive for nestin, an intermediate filament protein found in undifferentiated CNS cells [Lehndahl *et al.*, 20 *Cell*, 60: 585-595 (1990)].

In the continued presence of the proliferation-inducing growth factor, the precursor cells within the neurosphere continue to divide resulting in an increase in the size of the neurospheres as a result of an increase in the number of undifferentiated cells [nestin(+), NF(-), NSE (-), GFAP(-), MBP (-)]. It is possible to passage the 25 precursor cells in the presence of the same growth factors or different growth factors that allow further proliferation to occur without promoting differentiation. Cells can be passaged 30 times or more using proliferative culture methods, resulting in an exponential increase in precursor cell numbers.

The culture techniques described above for the proliferation of CNS stem cells *in* 30 *vitro* can be modified through the use of additional biological factors or

- combinations of factors which increase, decrease or modify in some other way the number and nature of the precursor cells obtained from the stem cells in response to EGF or other proliferative factors. Changes in proliferation are observed by an increase or decrease in the number of neurospheres that form and/or an increase or
- 5 decrease in the size of the neurospheres (which is a reflection of the rate of proliferation — determined by the numbers of precursor cells per neurosphere). Thus, the term "regulatory factor" is used herein to refer to a biological factor that has a regulatory effect on the proliferation of stem cells and/or precursor cells. For example, a biological factor would be considered a "regulatory factor" if it
- 10 increases or decreases the number of stem cells that proliferate *in vitro* in response to a proliferation-inducing growth factor (such as EGF). Alternatively, the number of stem cells that respond to proliferation-inducing factors may remain the same, but addition of the regulatory factor affects the rate at which the stem cell and stem cell progeny proliferate. A proliferative factor may act as a regulatory factor when
- 15 used in combination with another proliferative factor. For example, the neurospheres that form in the presence of a combination of bFGF and EGF are significantly larger than the neurospheres that form in the presence of bFGF alone, indicating that the rate of proliferation of stem cells and stem cell progeny is higher.
- 20 Other examples of regulatory factors include heparan sulfate, transforming growth factor beta (TGF $\beta$ ), activin, bone morphogenic protein (BMP-2), ciliary neurotrophic factor (CNTF), retinoic acid, tumor necrosis factor alpha (TNF $\alpha$ ), macrophage inflammatory proteins (MIP-1 $\alpha$ , MIP-1 $\beta$  and MIP-2), nerve growth factor (NGF), platelet derived growth factor (PDGF), interleukins, and the Bcl-2
- 25 gene product. Antisense molecules that bind to transcripts of proliferative factors and the transcripts for their receptors also regulate stem cell proliferation. Other factors having a regulatory effect on stem cell proliferation include those that interfere with the activation of the c-fos pathway (an intermediate early gene, known to be activated by EGF), including phorbol 12 myristate 13-acetate (PMA; Sigma), which up-regulates the c-fos pathway and staurosporine (Research Biochemical International) and CGP-41251 (Ciba-Geigy), which down regulate c-fos expression and factors, such as tyrphostin [Fallon, D *et al.*, *Mol. Cell Biol.*,
- 30

11(5): 2697-2703 (1991)] and the like, which suppress tyrosine kinase activation induced by the binding of EGF to its receptor.

Preferred regulatory factors for increasing the rate at which neural stem cell progeny proliferate in response to FGF are heparan sulfate and EGF. Preferred

- 5 regulatory factors for decreasing the number of stem cells that respond to proliferative factors are members of the TGF $\beta$  family, interleukins, MIPs, PDGF, BMP-2, TNF $\alpha$ , retinoic acid ( $10^{-6}$  M) and CNTF. Preferred factors for decreasing the size of neurospheres generated by the proliferative factors are members of the TGF $\beta$  family, retinoic acid ( $10^{-6}$  M) and CNTF.
- 10 The regulatory factors are added to the culture medium at a concentration in the range of about 10 pg/ml to 500 ng/ml, preferably about 1 ng/ml to 100 ng/ml. The most preferred concentration for regulatory factors is about 10 ng/ml. The regulatory factor retinoic acid is prepared from a 1 mM stock solution and used at a final concentration between about 0.01  $\mu$ M and 100  $\mu$ M, preferably between
- 15 about 0.05 to 5  $\mu$ M. Preferred for reducing the proliferative effects of EGF or bFGF on neurosphere generation is a concentration of about 1  $\mu$ M of retinoic acid. Antisense strands, can be used at concentrations from about 1 to 25  $\mu$ M. Preferred is a range of about 2 to about 7  $\mu$ M. PMA and related molecules, used to increase proliferation, may be used at a concentration of about 1  $\mu$ g/ml to 500  $\mu$ g/ml,
- 20 preferably at a concentration of about 10  $\mu$ g/ml to 200  $\mu$ g/ml. The glycosaminoglycan, heparan sulfate, is a ubiquitous component on the surface of mammalian cells known to affect a variety of cellular processes, and which binds to growth factor molecules such as FGF and amphiregulin, thereby promoting the binding of these molecules to their receptors on the surfaces of cells. It can be
- 25 added to the culture medium in combination with other biological factors, at a concentration of about 1 ng/ml to 1 mg/ml; more preferred is a concentration of about 0.2  $\mu$ g/ml to 20  $\mu$ g/ml, most preferred is a concentration of about 2  $\mu$ g/ml.

The precursor cells can be used for transplantation to treat various neurological disorders, as disclosed in PCT applications no. WO 93/01275, WO 94/16718, WO

- 30 94/10292, and WO 94/09119. The cells which are to be used for transplantation

- can be harvested from the culture medium and transplanted, using any means known in the art, to any animal with abnormal neurological or neurodegenerative symptoms, obtained in any manner, including those obtained as a result of chemical, electrical, mechanical or other lesions, as a result of experimental
- 5 aspiration of neural areas or as a result of disease or aging processes.

- The methods disclosed herein can also be used to test the proliferative or regulatory effects of biological factors on multipotent mammalian neural stem cell proliferation *in vitro*, prior to using the biological factors for the *in vivo* regulation of the proliferation of a patient's normally quiescent stem cells. The neural stem
- 10 cells may be obtained from a human with a neurological disorder in order to test the proliferative or regulatory effects of biological factors on dysfunctional, diseased, or injured tissue. Therapeutic compositions comprising the regulatory factors can then be prepared for use in the treatment of various neurological disorders, disease, or injury. The compositions comprise one or more regulatory
- 15 factors at the above concentrations in a physiologically acceptable formulation.

- The therapeutic compositions may be administered *in vivo* to regulate the proliferation of neural stem cells. The normally quiescent neural stem cells are located throughout the CNS near ventricular regions. Within the forebrain are the lateral (first and second) ventricles. The third ventricle is a cavity of the lower
- 20 part of the forebrain which is connected to the fourth ventricle located in the hindbrain. The central canal, continuous with the aforementioned ventricular structures, is the ventricular component of the spinal cord.

- The fact that CNS stem cells are located in the tissues lining ventricles offers several advantages for the modification and manipulation of these cells *in vivo* and
- 25 the ultimate treatment of various neurological diseases, disorders, and injury that affect different regions of the CNS. Therapy for these can be tailored accordingly so that stem cells surrounding ventricles near the affected region would be manipulated or modified *in vivo* using the methods described herein. The ventricular system is found in nearly all brain regions and thus allows easier access
- 30 to the affected areas. If one wants to modify the stem cells by exposing them to a

composition comprising a growth factor or a viral vector, it is relatively easy to implant a device that administers the composition to the ventricle. For example, a cannula attached to an osmotic pump may be used to deliver the composition.

Alternatively, the composition may be injected directly into the ventricles. This

- 5 would allow the migration of the CNS stem cell progeny into regions which have been damaged as a result of injury or disease. Furthermore, the close proximity of the ventricles to many brain regions would allow for the diffusion of a secreted neurological agent by the stem cells or their progeny.

Gliosis, which results in the formation of glial scar tissue, results from damage to

- 10 CNS tissue. The scar tissue is considered to have a major inhibitory effect on axonal outgrowth and the reconnection of severed elements, thus preventing functional recovery following brain or spinal cord injury. While not the only component of CNS scar tissue, astrocytes are one of the major elements involved. (Reier, P.J. *Astrocytes* vol. 3: 263-323 (1986)). It is a possibility that the gliosis 15 results, at least in part, from the proliferation of previously quiescent stem cells. Following injury to the CNS, it would be advantageous to administer a factor known to inhibit neural stem cell proliferation to the ventricular system. by reducing the proliferation of stem cell progeny which give rise to astrocytes, scar tissue formation at the injury site is reduced and the conditions which allow for the 20 reconnection of axonal elements are enhanced. A preferred inhibitory factor is BMP-2.

#### Example 1

##### *In vitro* proliferation of multipotent CNS stem cells derived from embryonic brain tissue — neurosphere proliferation in response to EGF

- 25 Embryonic day 14 (E14) CD<sub>1</sub> albino mice (Charles River) were decapitated and the brain and striata removed using sterile procedure. The tissue was mechanically dissociated with a fire-polished Pasteur pipette into Complete Medium. The cells were centrifuged at 800 r.p.m. for 5 minutes, the supernatant aspirated, and the 30 cells resuspended in Complete Medium for counting.

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- The cells were suspended at a density of 25,000 cells/ml in Complete Medium containing 20 ng/ml EGF. Using an Eppendorf repeat pipetter with a 5 ml tip, 200  $\mu$ l of the cell suspension was added to each well of a 96 well plate with no substrate pre-treatment and housed in an incubator at 37°C, 100% humidity, 95%  
5 air/5% CO<sub>2</sub>.

- When the cells were proliferated, within the first 48 hours and by 3-4 days *in vitro* (DIV), they formed small clusters, known as neurospheres, that lifted off the substrate between 4-6 DIV. The number of neurospheres generated per well were counted and the results were tabulated and compared with the numbers of  
10 neurospheres generated in response to EGF after passaging the cells (see example 2) and in response to other biological factors alone, or in combination with EGF (see Example 3).

**Example 2**  
**Passaging of proliferated neurospheres**

- 15 Paradigm 1: Cells and media were prepared as outlined in Example 1. Cells were plated at  $0.2 \times 10^6$  cells/ml into 75 cm<sup>2</sup> tissue culture flasks (Corning) with no substrate pre-treatment and incubated as outlined in Example 1.

- After 7 DIV, the neurospheres were removed, centrifuged at 400 r.p.m. for 2-5 minutes, and the pellet was mechanically dissociated into individual cells with a  
20 fire-polished glass Pasteur pipet in 2 mls of Complete Medium.

$1 \times 10^6$  cells were replated into a 75 cm<sup>2</sup> tissue culture flask with 20 mls of the EGF-containing Complete Medium. The proliferation of the stem cells and formation of new neurospheres was reinitiated. This procedure can be repeated every 6-8 days.

- 25 Paradigm 2: The methods of Example 1 and Example 2 paradigm 1 were followed except that 20 ng/ml FGF was added to the Complete Medium in place of the EGF.

Paradigm 3: The methods of Example 1 and Example 2 paradigm 1 were followed except that 20 ng/ml FGF was added to the Complete Medium in addition to the 20 ng/ml EGF that was added.

- 5      Neurospheres, obtained after passaging, can be mechanically dissociated and the cells replated in 96 well plates as outlined in Example 1. The effects of specific biological factors, or specific combinations of biological factors on the proliferation of neurospheres from cells derived from passaged neurospheres can be determined and compared with results obtained from cells derived from primary tissue.

### Example 3

10     **Assay of striatum-derived neurosphere proliferation  
in response to various combinations of proliferative and regulatory factors**

- Paradigm 1: Primary striatal cells prepared as outlined in Example 1 were suspended in Complete Medium, without growth factors, plated in 96 well plates (Nunclon) and incubated as described in Example 1. Following a one hour 15 incubation period, a specific proliferative factor, or a combination of proliferative factors including EGF, or bFGF (recombinant human bFGF: R & D Systems), or a combination of EGF and bFGF, or EGF plus FGF plus heparan sulfate (Sigma), or bFGF plus heparan sulfate made up in Complete Medium at a concentration of 20 ng/ml for each of the growth factors and 2  $\mu$ g/ml for heparan sulfate), was 20 added to each well of the plate.

- Activin, BMP-2, TGF- $\beta$ , IL-2, IL-6, IL-8, MIP-1 $\alpha$ , MIP-1 $\beta$ , MIP-2 (all obtained from Chiron Corp.), TNF $\alpha$ , NGF (Sigma), PDGF (R&D Systems), EGF and CNTF (R. Dunn and P. Richardson, McGill University) were made up in separate flasks of compete medium to a final concentration of 0.2  $\mu$ g/ml. Retinoic acid 25 (Sigma) was added at a concentration of  $10^{-6}$  M. 10  $\mu$ l of one of these regulatory factor-containing solutions was added to each proliferative factor-containing well of the 96 well plates. Control wells, containing only proliferative factors, were also prepared.

In another set of experiments, the neurosphere inducing properties of each of these regulatory factors was tested by growing cells in their presence, in proliferative factor-free Complete Medium. None of these regulatory factors, with the exception of EGF, when used in the absence of a proliferation-inducing factor such 5 as EGF or FGF, has an effect on neural stem cell proliferation.

The activin, BMP-2, TGF- $\beta$ , IL-2, IL-6, IL-8, MIP-1 $\alpha$ , MIP-1 $\beta$ , MIP-2, TNF $\alpha$  and EGF additions were repeated every second day, CNTF which was added each day and retinoic acid, NGF and PDGF were added only once, at the beginning of the experiment. The cells were incubated for a period of 10-12 days. The number 10 of neurospheres in each well was counted and the resulting counts tabulated using Cricket Graph III. Other relevant information regarding sphere size and shape were also noted.

In general, bFGF had a greater proliferative effect than EGF on the numbers of neurospheres generated per well. In the presence of 20 ng/ml EGF, approximately 15 29 neurospheres per well were generated. In the presence of bFGF, approximately 70 neurospheres were generated. However, in bFGF alone (FIG. 1B), the neurospheres were only about 20% of the size of those generated in the presence of EGF (FIG. 1A). The combination of EGF and bFGF (FIG. 1C) produces significantly more neurospheres than does EGF alone, but fewer than seen with 20 bFGF alone. The neurospheres are larger than those seen in bFGF alone, approximating those seen in EGF. In the case of bFGF generated spheres, the addition of heparan sulfate increased the size of the spheres to about 70% of the size of those which occur in response to EGF. These data suggest that EGF and FGF have different actions with respect to the induction of stem cell mitogenesis.

25 The effects of the regulatory factors added to the proliferative factor-containing wells are summarized in Table I. In general, the TGF $\beta$  family, interleukins, macrophage-inhibitory proteins, PDGF, TNF $\alpha$ , retinoic acid ( $10^{-6}$ M) and CNTF significantly reduced the numbers of neurospheres generated in all of the proliferative factors or combinations of proliferative factors tested. BMP-2 (at a 30 dose of 10 ng/ml), completely abolished neurosphere proliferation in response to

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**EGF.** EGF and heparan sulfate both greatly increased the size of the neurospheres formed in response to bFGF (about 400%).

TABLE I

PROLIFERATIVE FACTORS

PROLIFERATIVE FACTORS									
	EGF	bFGF	EGF + bFGF	bFGF + Heparan Sulfate	EGF + bFGF + Heparan Sulfate	#	#	#	size
TGF $\beta$ Family ♦	-57%	n/a	.57%	-	-34%	-	-55%	-	-20%
BMP-2	-100%	=	.5%	+16%	-	-	-3%	-	+10%
Interleukins	-21%	=	.23%	-	.37%	-	.28%	-	-39%
MIP Family	-25%	=	.6%	-	.32%	-	.22%	-	-33%
NGF	-10%	=	0%	-	.30%	-	.+5%	-	-48%
PDGF	-1.5%	=	.4%	-	.26%	-	-10%	-	-27%
TNF $\alpha$	-17%	=	.17%	-	-4.1%	-	-21%	-	-37%
10 <sup>-6</sup> M Retinoic Acid	-8%	=	.61%	-	.31%	-	.65%	-	-45%
CNTF	-23%	-	.77%	-	.81%	-	.81%	-	-84%
EGF	0%	=	0%	-	-14%	+	-	-	-17%
Heparan Sulfate	0%	=	0%	-	0%	+	+	-	-

♦ Excluding BMP-2 (i.e. TGF $\alpha$  and activin)

Numbers of neurospheres generated (#) are given as percentages that reflect the decrease (-) or increase (+) in numbers of neurospheres per well, in response to a PROLIFERATIVE FACTOR in the presence of a REGULATORY FACTOR, compared with the number of neurospheres proliferated in the absence of the REGULATORY FACTOR.

**Size of neurospheres generated in the presence of PROLIFERATIVE FACTORS and REGULATORY FACTORS compared to those generated in the presence of PROLIFERATIVE FACTORS alone are indicated as follows:**

**much larger**: +; **larger**: +; **approximately the same size**: ~; **smaller**: -; **much smaller**: --; **variable in size**: ~

Paradigm 2: Antisense/sense experiments: Embryonic tissue was prepared as outlined in Example 1 and plated into 96 well plates in Complete Medium.

Antisense and sense experiments were carried out using the following oligodeoxynucleotides (all sequences written 5' to 3'):

EGF receptor:      Sense strand:      GAGATGCGACCCCTCAGGGAC  
                          Antisense strand:      GTCCCTGAGGGTCGCATCTC

EGF:      Sense strand:      TAAATAAAAGATGCCCTGG  
                          Antisense strand:      CCAGGGCATTTTATTAA

Each oligodeoxynucleotide was brought up and diluted in ddH<sub>2</sub>O and kept at -20°C. Each well of the 96 well plates received 10μl of oligodeoxynucleotide to give a final concentration of either 1, 2, 3, 4, 5, 10 or 25μM. Oligodeoxynucleotides were added every 24 hours. The EGF receptor (EGFr) and EGF oligodeoxynucleotides were applied to cultures grown in bFGF (20 ng/ml), and EGFr oligodeoxynucleotides were applied to cultures grown in EGF (20 ng/ml). Cells were incubated at 37°C, in a 5% CO<sub>2</sub>, 100% humidity incubator. After a period of 10 to 12 days, the number of neurospheres per well was counted and tabulated. A concentration of 3μM of antisense oligodeoxynucleotides produced a 50% reduction in the number of neurospheres generated per well, whereas the sense oligodeoxynucleotides had no effect on the number of neurospheres generated in response to EGF and FGF. Both the sense and antisense oligodeoxynucleotides were toxic to cells when 10μM or higher concentrations were used.

Similar experiments can be performed using the following oligonucleotides:

bFGF receptor:      Sense strand:      GAACTGGGATGTGGGCTGG  
                          Antisense strand:      CCAGCCCCACATCCCAGTTC

EGF:      Sense strand:      GCCAGCGGCATCACCTCG  
                          Antisense strand:      CGAGGTGATGCCGCTGGC

The FGF receptor (FGFr) and FGF oligodeoxynucleotides are applied to cultures grown in EGF, and FGFr oligodeoxynucleotides are applied to cultures grown in bFGF.

**Paradigm 3:** Embryonic tissue is prepared as outlined in Example 1 and plated into 96 well plates. Complete Medium, containing 20 ng/ml of either EGF or bFGF is added to each well. 10 $\mu$ l of diluted phorbol 12-myristate 13 acetate (PMA) is added once, at the beginning of the experiment, to each well of the 96 well plates, using an Eppendorf repeat pipetter with a 500 $\mu$ l tip to give a final concentration of either 10, 20, 40, 100 or 200  $\mu$ g/ml. Cells are incubated at 37°C in a 5% CO<sub>2</sub> 100% humidity incubator. After a period of 10 to 12 days the number of neurospheres per well is counted and tabulated.

**Paradigm 4:** Embryonic tissue is prepared as outlined in Example 1 and plated into 96 well plates. 10 $\mu$ l of diluted staurosporine is added to each well of a 96 well plate, using an Eppendorf repeat pipetter with a 500 $\mu$ l tip to give a final concentration of either 10, 1, 0.1, or 0.001  $\mu$ M of staurosporine. Cells are incubated at 37°C, in a 5% CO<sub>2</sub> 100% humidity incubator. After a period of 10 to 12 days, the number of neurospheres per well is counted and tabulated.

**Example 4**  
**Adult spinal cord stem cell proliferation —**  
*in vitro responses to specific biological*  
*factors or combinations of factors*

Spinal cord tissue was removed from 6 week to 6 month old mice, as follows: cervical tissue was removed from the vertebral column region rostral to the first rib; thoracic spinal tissue was obtained from the region caudal to the first rib and approximately 5 mm rostral to the last rib; lumbar-sacral tissue constituted the remainder of the spinal cord. The dissected tissue was washed in regular artificial cerebrospinal fluid (aCSF), chopped into small pieces and then placed into a spinner flask containing oxygenated aCSF with high Mg<sup>2+</sup> and low Ca<sup>2+</sup> and a trypsin/hyaluronidase and kynurenic acid enzyme mix to facilitate dissociation of the tissue. The tissue was oxygenated, stirred and heated at 30°C for 1 1/2 hours, then transferred to a vial for treatment with a trypsin inhibitor in media solution

(DMEM/12/hormone mix). The tissue was triturated 25-50 times with a fire narrow polished pipette. The dissociated cells were centrifuged at 400 r.p.m. for 5 minutes and then resuspended in fresh media solution. Cells were plated in 35 mm dishes (Costar) and allowed to settle. Most of the media was aspirated and fresh media was added. EGF alone, or EGF and bFGF were added to some of the dishes to give a final concentration of 20 ng/ml each, and bFGF (20 ng/ml) was added, together with 2 µg/ml of heparan sulfate, to the remainder of the dishes. The cells were incubated in 5% CO<sub>2</sub>, 100% humidity, at 37°C for 10-14 days. The numbers of neurospheres generated per well were counted and the results tabulated. EGF alone resulted in the generation of no neurospheres from any of the spinal cord regions. In the presence of EGF plus bFGF, neurospheres were generated from all regions of the spinal cord, in particular the lumbar sacral region. The combinations of EGF + FGF and FGF + heparan sulfate produced similar numbers of spheres in the cervical region, whereas the combination of bFGF plus heparan sulfate resulted in fewer neurospheres from the thoracic and lumbar regions (see FIG. 3).

**Example 5**  
**Generation of neurospheres from primate tissue.**  
*in vitro, in response to proliferative factors*

First-passage neurospheres were obtained from adult human tissue. During a routine biopsy, normal tissue was obtained from a 65 year old female patient. The biopsy site was the right frontal lobe, 6 mm from the tip of the frontal/anterior horn of the lateral ventricle. The tissue was prepared using substantially the same procedure outlined in Example 4 using aCSF. The stem cells were cultured in T25 flasks (Nunclon) in Complete Medium with 20 ng/ml EGF, 20 ng/ml bFGF, or 20 ng/ml each EGF plus bFGF. The flasks were examined every 2-3 days for neurosphere formation. More neurospheres were generated from the combination of EGF plus EGF than with either EGF or FGF alone.

**Example 6**  
**Inhibition of Stem Cell and Stem Cell Progeny**  
**Proliferation in the Injured CNS**

**A: Spinal Cord Injury**

Adult male CD1 mice (Charles River, St. Constant, Quebec) are anesthetized using sodium pentobarbital (80 mg/kg, i.p.). A laminectomy is made at the cervical, thoracic or lumbar level and the dorsal funiculus is cut with microsurgical scissors. On the same day the lesion is made, a composition comprising a regulatory factor that inhibits stem cell proliferation is infused into the 4th ventricle, using a 100  $\mu$ l capacity osmotic mini-pump (ALZA; with a delivery rate of 0.5  $\mu$ l/h/7 days; model 1007D) attached to a 30 gauge cannula. The cannula is implanted, using a stereotaxis, into the 4th ventricle at AP -6.0 mm posterior to bregma, L -0.3 mm and DV -4.3 mm below dura, with a flat skull position between lambda and bregma. The cannula is secured with dental acrylic cement. The composition comprising a regulatory factor that inhibits stem cell proliferation in response to an injury stimulus is infused for 1-28 days at a flow rate of 0.5  $\mu$ l/h. The composition comprises 0.9% saline, 1 mg/ml mouse serum albumin (Sigma), and BMP-2 at 10 ng/ml. Other regulatory factors that could be used include those found to have an inhibitory effect on neural stem cell proliferation *in vitro*, such as CNTF, retinoic acid, members of the TGF- $\beta$  and MIP family and antisense oligonucleotides against EGF and FGF receptors. The response of cells in the region of the injury is determined as outlined in Example 7.

**B. Brain Injury**

Adult male CD1 mice (Charles River, St. Constant, Quebec) are anesthetized using sodium pentobarbital (80 mg/kg, i.p.). A small section of skull is removed to expose a region of the cerebral cortex. An excision wound is made in the cortex, according to the method of Cavanagh, J.B. *J. Anatomy* 106: 471-487 (1970). On the same day the lesion is made, factors that inhibit stem cell proliferation are infused into the ipsilateral ventricle, using a 100  $\mu$ l capacity osmotic mini-pump (ALZA; with a delivery rate of 0.5  $\mu$ l/h/7 days; model 1007D) attached to a 30 gauge cannula. The cannula is implanted, using a stereotaxis. The cannula is secured with dental acrylic cement. Factors which inhibit stem cell proliferation in

response to an injury stimulus are infused for 1-28 days at a flow rate of 0.5  $\mu$ l/h. The vehicle solution is 0.9% saline, containing 1 mg/ml mouse serum albumin (Sigma). Inhibitory factors include those found to have an inhibitory effect on neural stem cell proliferation *in vitro*, such as BMP-2, CNTF, retinoic acid, members of the TGF- $\beta$  and MIP family, and antisense oligodeoxynucleotides against EGF and FGF receptors. The response of cells in the region of the injury is determined as outlined in Example 7.

#### **Example 7** **Detection of Proliferating Cells in an Injured Region of the CNS**

Following the end of the infusion periods in Example 6, mice are injected with bromodeoxyuridine (BrdU; Sigma, 120 mg/kg, i.p.) every two hours for a total of 5 injections, to label proliferating cells in the region of the injury. Animals are sacrificed 30 minutes, 2 days, 4 days, 1 week, 6 weeks or 6 months following the final injection by an anesthetic overdose and are transcardially perfused with 4% paraformaldehyde. The region adjacent to and including the injury site and ventricular region adjacent to the injury site is removed and postfixed overnight at 4°C in perfusing solution, then cryoprotected. 30  $\mu$ m sagittal cryostat sections are cut and directly mounted on gelled slides. For BrdU detection, the tissue is processed for immunocytochemistry after first treating the sections with 1 M HCl for 30 minutes at 65°C to denature cellular DNA. Rat anti-BrdU (Seralab) is utilized with donkey anti-rat-FITC for immunocytochemistry. Antiserum to GFAP (expressed by astrocytes) is used followed by a donkey anti-mouse-FITC to visualize GFAP expression and glial scar production. The effect of treatment on nestin expression is quantitated by labeling sections with an antiserum to nestin followed by a donkey anti-mouse-FITC and counting the number of nestin-immunoreactive cells near the ventricular area and the lesion site. Specificity of immunostaining is confirmed by the absence of primary antibody. Results in treated animals are compared to controls which received intraventricular treatment with the vehicle only.

**WHAT IS CLAIMED IS:**

1. A method of regulating the *in vitro* proliferation of a multipotent neural stem cell and/or the proliferation of progeny of said neural stem cell comprising the steps of:
  - (a) dissociating mammalian neural tissue containing at least one multipotent neural stem cell capable of producing progeny that are capable of differentiating into neurons, astrocytes and oligodendrocytes, and
  - (b) proliferating said multipotent neural stem cell in a culture medium containing at least one proliferative factor that induces stem cell proliferation and a regulatory factor that regulates proliferation of said multipotent neural stem cell and/or proliferation of progeny of said multipotent neural stem cell.
2. The method of claim 1 wherein said proliferative factor is selected from the group consisting of EGF, amphiregulin, aFGF, bFGF, and TGF $\alpha$ .
3. The method of claim 1 wherein said proliferative factor is bFGF.
4. The method of claim 1 wherein said regulatory factor is selected from the group consisting of heparan sulfate, CNTF, retinoic acid, activin, interleukins, and EGF.
5. The method of claim 3 wherein said regulatory factor is heparan sulfate.
6. The method of claim 3 wherein said regulatory factor is EGF.
7. The method of claim 1, wherein said multipotent neural stem cell is derived from a mammal.
8. The method of claim 1 wherein said multipotent neural stem cell is derived from an adult donor.
9. The method of claim 1 wherein said stem cell is derived from a human.

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10. The method of claim 8 wherein said stem cell is derived from a human with a neurological disorder.

11. A therapeutic composition for regulating the proliferation of neural stem cells in the CNS of a patient, said composition comprising a therapeutically effective amount of a neural stem cell regulatory factor.

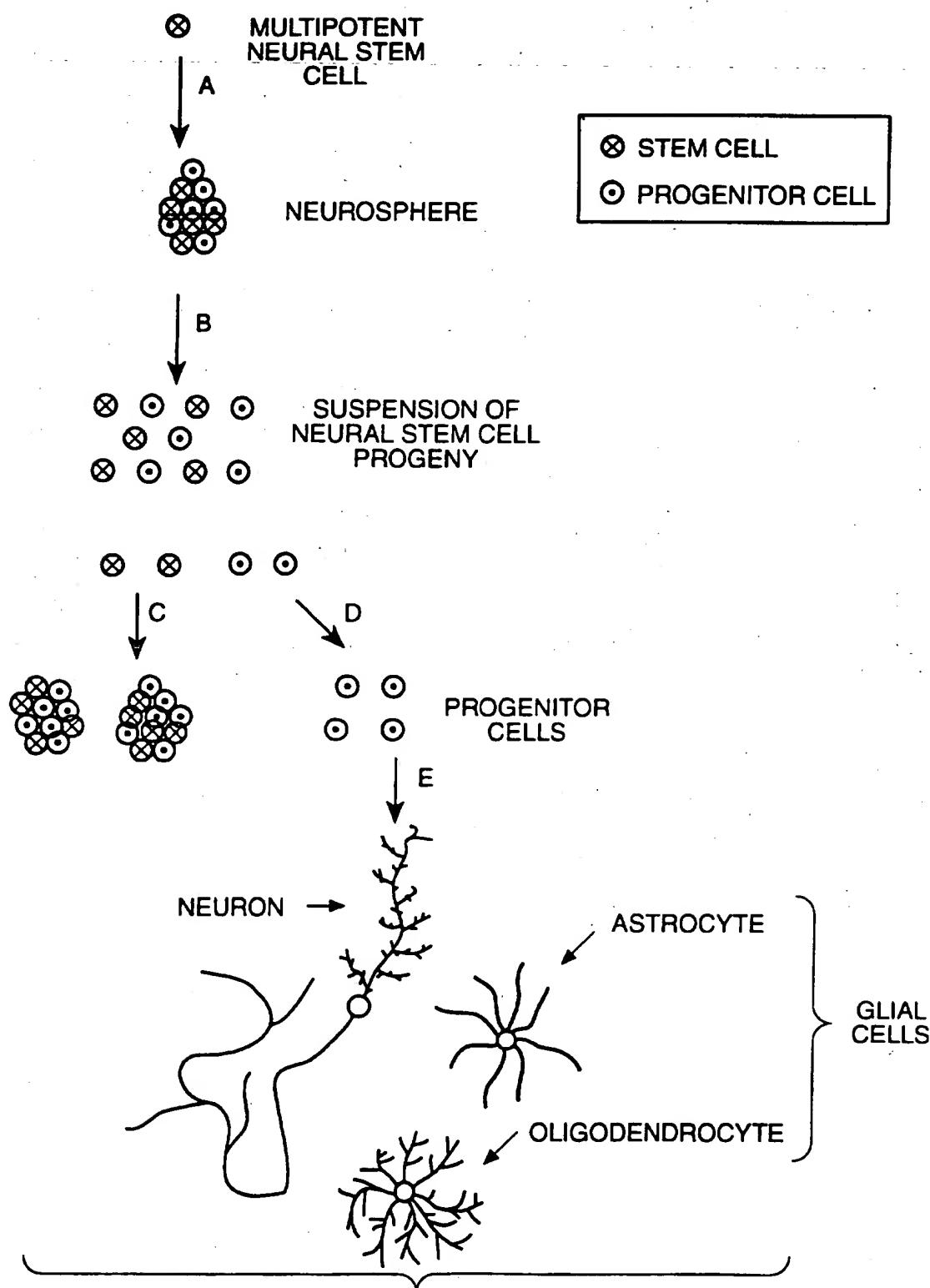
12. The composition of claim 11 wherein said regulatory factor inhibits neural stem cell proliferation.

13. The composition of claim 12 wherein said regulatory factor is selected from the group consisting of BMP-2, CNTF, retinoic acid, members of the TGF- $\beta$  and MIP family, and antisense oligodeoxynucleotides against EGF and FGF receptors.

14. The composition of claim 13 wherein said regulatory factor is BMP-2.

15. Use of the composition of any of claims 12-14 for the prevention of scar tissue formation in a patient with brain or spinal cord injury.

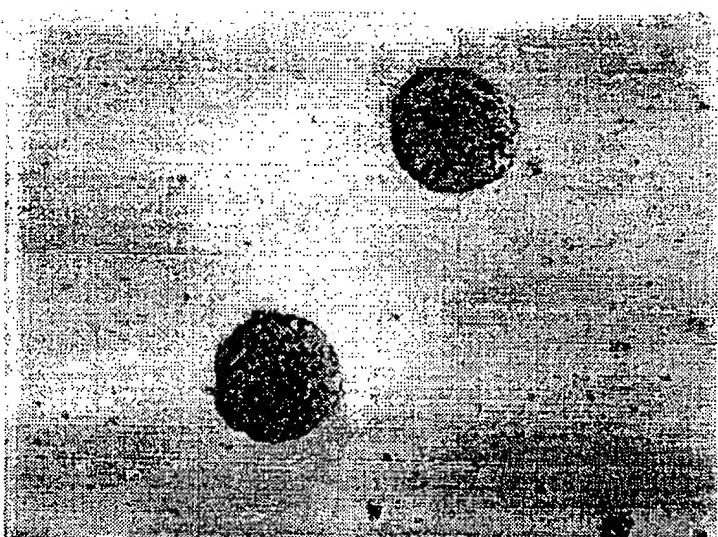
1 / 3

**FIG.\_1**

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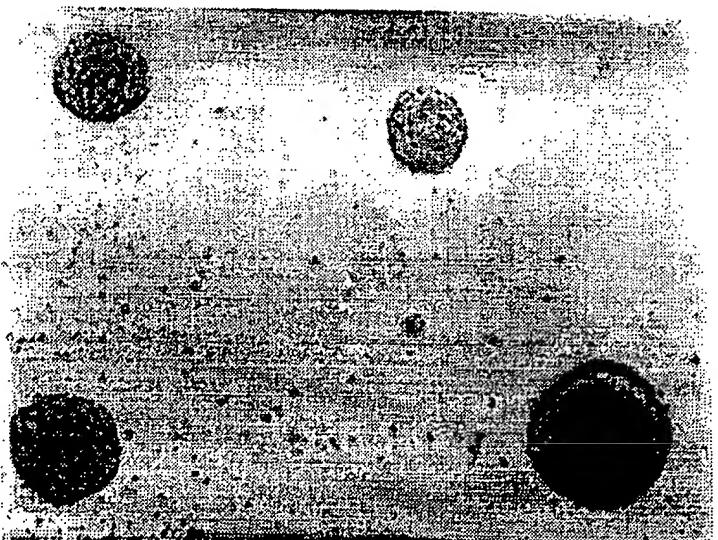
**FIG.\_2A**



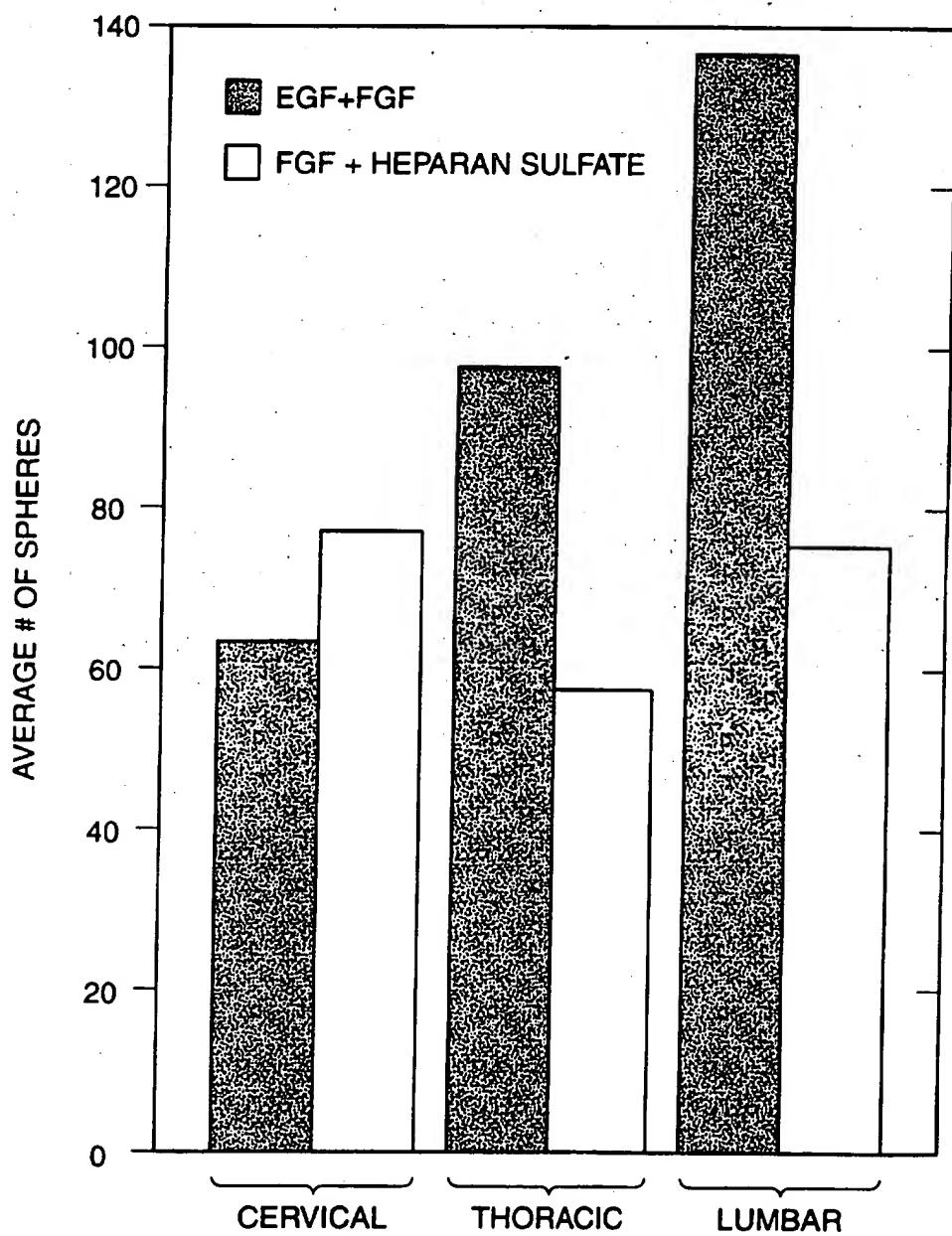
**FIG.\_2B**



**FIG.\_2C**



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**FIG.\_3**

SPINAL CORD REGION

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/CA 95/00637

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 C12N5/06 C12N5/08 A61K38/18 A61K31/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,94 10292 (NEUROSPHERES LTD) 11 May 1994 see page 8, line 24 - page 14, line 32 ---	1-4,6-10
X	WO,A,94 03199 (REGENERON PHARMACEUTICALS, INC.) 17 February 1994 see page 13, line 13 - page 16, line 10 ---	1-4,7-10
X	SCIENCE, vol. 260, 2 April 1993 LANCASTER, PA US, pages 103-106, VICTOR NURCOMBE ET AL. 'DEVELOPMENTAL REGULATION OF NEURAL RESPONSE TO FGF-1 AND FGF-2 BY HEPARAN SULFATE PROTEOGLYCAN.' see the whole document --- -/-	1-5

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

1

Date of the actual completion of the international search	Date of mailing of the international search report
2 April 1996	23.04.96
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl. Fax (+ 31-70) 340-3016	Rempp, G

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 95/00637

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 270, no. 42, 20 October 1995 MD US, pages 24941-24948, YARDENAH G. BRICKMAN ET AL. 'HEPARAN SULFATES MEDIATE THE BINDING OF BASIC FIBROBLAST GROWTH FACTOR TO A SPECIFIC RECEPTOR ON NEURAL PRECURSOR CELLS.' see the whole document ---	1-5
Y	US,A,5 175 103 (VIRGINIA LEE ET AL.) 29 December 1992 see column 2, line 55 - column 3, line 2 ---	1,4,7-10
Y	WO,A,94 09119 (NEUROSPHERES LTD) 28 April 1994 see page 8, line 4 - page 9, line 29; example 1 ---	1,2,4, 6-10
Y	WO,A,94 16718 (NEUROSPHERES LTD) 4 August 1994 see page 9, line 10 - page 10, line 28; example 1 ----	1,2,4, 6-10
A	WO,A,89 12464 (MASSACHUSETTS INSTITUTE OF TECHNOLOGY) 28 December 1989 ----	

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/CA95/00637

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 15

because they relate to subject matter not required to be searched by this Authority, namely:

Remark: Although this claim is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

2.  Claims Nos.:

because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3.  Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/CA 95/00637

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**INTERNATIONAL SEARCH REPORT**

Informal patent family members

International Application No

PCT/CA 95/00637

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9416718		AU-B- 5367694	24-05-94
		WO-A- 9410292	11-05-94
		EP-A- 0669973	06-09-95
		FI-A- 952022	27-04-95
		NO-A- 951617	27-04-95
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WO-A-8912464	28-12-89	US-A- 5100668	31-03-92
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